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| Substitute for form 1449/PTO  |   |    | <b>Complete if Known</b> |                        |               |
|   |   |    | Application Number       | 09/912,449             |               |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    | Filing Date              | July 25, 2001          |               |
|   |   |    | First Named Inventor     | Youich Tei             |               |
|   |   |    | Art Unit                 | 1773                   |               |
|   |   |    | Examiner Name            | Not Yet Assigned       |               |
| Sheet   | 1 | of | 1                        | Attorney Docket Number | 9619/OL153US0 |

| U.S. PATENT DOCUMENTS |                       |  |                                |  |   |
|-----------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| AA                    | US-5,187,012-B1       | 02-16-1993                               | Takahashi et al.               |  |   |
| AB                    | US-5,366,812-B1       | 11-22-1994                               | Takahashi et al.               |  |   |
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| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>2</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  |   |                |
|                          |                          |   |                     |  |   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 15 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |  |   |                |
|---------------------------------|--|---|----------------|
| Examiner Initials               | Cite No. <sup>1</sup>  | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| CA                              | TEI, et al.; METHOD FOR PURIFYING A THERMOPLASTIC NORBORNENE RESIN, A SUBSTRATE USING SUCH A RESIN, AND A MAGNETIC RECORDING MEDIUM USING SUCH A SUBSTRATE; Publication No. US 2001/0056051 A1; Publ. Date December 27, 2001 |   |                |
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|-----------------------|--|--------------------|---------|
| Examiner<br>Signature |  | Date<br>Considered | 12/5/03 |
|-----------------------|--|--------------------|---------|